

#### University of Kentucky

## REPORT ON STUDENT DISCIPLINE

The University of Kentucky Student Conduct Report presents an overview of student discipline during the one-year reporting period from July 1, 2022, to June 30, 2023.



# The University of Kentucky Annual Report on Student Discipline

The University of Kentucky Student Conduct Report presents an overview of student discipline during the one-year reporting period from July 1, 2022, to June 30, 2023. This report complies with the legislative requirement outlined in KRS 164.372, which mandates the regular submission and publication of student discipline data. This report, completed by the Office of Student Conduct, aims to provide transparency and accountability regarding student conduct matters within our institution.

The University of Kentucky Office of Student Conduct is dedicated to promoting education-based restorative practices in student discipline. The office works collaboratively with partners across campus to create an environment that prioritizes personal growth, accountability, and community restoration, while fostering a campus culture of learning, empathy, and belonging.. The Office of Student Conduct embraces a dual responsibility: we are committed to both promoting and safeguarding student rights and due process, as well as fostering accountable behavior. We achieve this by implementing education-based restorative practices that not only guide students towards personal growth but also uphold the principles of fairness, justice, and equity within our campus community.



#### **Data Collection and Reporting**

To gather and manage student conduct data, the University of Kentucky utilizes a centralized database system. The system is designed to record and categorize incidents, streamline case management, maintain confidentiality, and enable efficient data retrieval and analysis.

#### **Privacy and Confidentiality**



We adhere to strict privacy and confidentiality standards when handling student conduct data. Information shared in this report is aggregated and anonymized to protect the identities and privacy of individual students. In order to protect personal identifying information and consistent with historical reporting by the university, when case size does not allow for adequate de-identification in regards to demographics, data will be reported as <10.



#### **Report Details**

This report is structured to provide a comprehensive overview of student discipline during the one-year reporting period. It includes data responsive to the requirements of KRS 164.372.



### **REPORT PERIOD**

The report period for this report is July 1, 2022, through June 30, 2023.

#### **Code of Student Conduct and University Housing and Community Standards**

The Code of Student Conduct and University Housing and Community Standards are distinct sets of guidelines that serve different purposes at the University of Kentucky. The Code of Student Conduct encompasses a wide range of behaviors and actions applicable to all enrolled students, regardless of their housing situation. Its primary aim is to maintain a safe and respectful campus environment, addressing violations with a range of sanctions. The University Housing and Community Standards are specific rules that primarily pertain to students residing in university-owned or affiliated housing. These standards are tailored to issues relevant to communal living, including quiet hours, guest policies, and cleanliness.

Misconduct by students living in UK owned or controlled residence halls, houses, and apartments is addressed by the Office of Residence Life in coordination with the Office of Student Conduct. The standards and regulations for UK owned or controlled residential facilities are contained in the written agreement between the student and UK and in the University Housing Community Standards (UHCS). The Office of Residence Life investigates alleged violations of the rules and regulations outlined under UHCS. Management of such cases is conducted in accordance with established procedures and done in coordination with the Office of Student Conduct. A compilation of all rules established under the Housing & Dining Contract as well as the UHCS are provided to all residents of the facility to which such rules apply.

If the behavior or conduct that occurs in UK owned or controlled housing poses a health or safety risk to self or others or may result in disciplinary suspension or dismissal from UK, or termination of residence in campus housing, the case will be referred directly to OSC. In cases where the alleged violation of the UHCS may constitute a violation of the provisions of the Code, the Office of Residence Life will refer the case to the Office of Student Conduct.

As such, the data responsive to this report includes behaviors address under both the Code of Student Conduct and UHCS.

### DEFINITIONS

#### **Case Creation Date**

For the purposes of this report, the date a case was created in the records management system is utilized to indicate when a case was 'initiated by the institution.'

#### **Definition of Student**

During the reporting period, the Code of Student Conduct defined student as "any person who is enrolled at UK and who has not completed a program of study in which he or she is enrolled. Student status continues whether or not UK's academic programs are in session. Student status includes those taking courses for credit or non-credit at UK, either full-time or part-time, while pursuing undergraduate, graduate or professional studies. Persons who withdraw after allegedly violating the Code or who are living in the residence halls, although not enrolled at UK, are also considered students." The data includes BCTC students who live on campus at UK. These individuals meet the definition of student and are accountable to the Code of Student Conduct and UHCS.

#### Classification

Per SR 5.2.3 a student is classified as a freshman if fewer than 30 hours have been completed, as a sophomore upon completion of 30 credit hours, as a junior upon completion of 60 credit hours, and as a senior upon completion of 90 credit hours.

#### **CPE Demographics**

Consistent with other university reporting, the CPE categories for race and gender are used. The "org" category refers to organizations recognized by the university that go through the Student Conduct Process and do not have additional demographic markers.





#### Resolutions

KRS 164.370 asks for reporting in regard to different disciplinary proceeding outcomes. Below are how those possible resolutions are operationalized at the University.

- Dismissal of a Complaint prior to a hearing on the matter includes cases where administrative warning letter are sent or when an investigation is conducted but the student is not charged with a violation of University policy.
- A Negotiated Resolution is a resolution reached in a one-on-one setting between the student and the assigned conduct officer.
- A Hearing on the Matter is a formal hearing held by the UK Community Hearing Board at the request of the Office of Student Conduct or the Respondent.
- A Dismissal of the Complaint after a formal hearing happens when the UK Community Hearing Board or the University Appeals Board found a respondent not responsible for a violation of University policy.
- Suspensions are a defined period of separation between the respondent and the University. This includes revocation of student organization status for a defined period.
- Expulsion is a permanent ending to the relationship between the respondent and the University.
- Termination of On-Campus Residency is a permanent cancellation of a respondent's housing contract with the University.
- An Appeal to a Governing Board: The University of Kentucky Board of Trustees has designated the University Appeals Board (UAB) as their designee for the appeal of outcomes resulting in cancellation of housing contracts, suspension, expulsion, social suspension, and revocation of student organization status.
- Appeal of a Final Decision: The outcomes of a UAB hearing are not subject to appeal. In matters where revocation of degree is recommended, the Board of Trustees makes the final decision.

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### REPORT

**Chart #1-4:** These charts provide total case count for each level of resolution and a summary of demographic data at the time the case was created including race, gender, on-campus residency status, and academic classification in response to sections (1)(a) and (1)(b)(1). This chart also provides all demographic information on cases resulting in removal from University housing, suspension, and expulsion.

CHART 1											
KRS 164.372						RACE					
Report Items: 1A	TOTAL	ORG	American Indian or Alaskan Native	Asian	Black or African American	Hispanic or Latino	Native Hawaiian or Other Pacific Islander	Nonresident Alien	Race and Ethnicity Unknown	Two or More Races	White
<b>1A1</b> Dismissal of Complaint Prior to Hearing	949	<10	<10	27	103	58	<10	<10	23	46	679
<b>1A2</b> Informal Resolution	1483	15	<10	31	131	76	<10	<10	18	74	1125
<b>1A3</b> Formal Hearing	21	<10	0	0	<10	<10	0	0	<10	0	15
<b>1A4</b> Dismissal of Complaint After Hearing	<10	0	0	0	0	0	0	0	0	0	<10
1A5 Suspension	<10	<10	0	0	<10	0	0	0	<10	0	<10
1A6 Expulsion	<10	<10	<10	<10	<10	<10	<10	<10	<10	<10	<10
<b>1A7</b> Termination of Housing	16	0	0	0	0	<10	0	0	<10	0	14
<b>1A8</b> Appeal to University Appeals Board	3	2	<10	<10	<10	<10	<10	<10	<10	<10	<10
<b>1A9</b> Appeal from University Appeals Board	0	0	0	0	0	0	0	0	0	0	0

CHART 2											
	GENDER										
KRS 164.372 Report Items: 1A	TOTAL	ORG	Male	Female	Other or Gender Unknown						
1A1 Dismissal of Complaint Prior to Hearing	949	<10	403	540	<10						
1A2 Informal Resolution	1483	15	778	682	<10						
1A3 Formal Hearing	21	<10	13	<10	0						
1A4 Dismissal of Complaint After Hearing	<10	0	<10	0	0						
1A5 Suspension	<10	<10	<10	<10	0						
1A6 Expulsion	2	<10	<10	<10	<10						
<b>1A7</b> Termination of Housing	16	0	<10	<10	0						
1A8 Appeal to University Appeals Board	<10	<10	<10	<10	<10						
1A9 Appeal from University Appeals Board	0	0	0	0	0						

CHART 3											
	HOUSING										
KRS 164.372 Report Items: 1A	TOTAL	ORG	Y	N							
1A1 Dismissal of Complaint Prior to Hearing	949	<10	780	165							
1A2 Informal Resolution	1483	15	1293	175							
1A3 Formal Hearing	21	<10	17	<10							
1A4 Dismissal of Complaint After Hearing	<10	0	<10	0							
1A5 Suspension	<10	<10	<10	<10							
1A6 Expulsion	<10	<10	<10	<10							
<b>1A7</b> Termination of Housing	16	0	16	0							
1A8 Appeal to University Appeals Board	<10	<10	<10	<10							
<b>1A9</b> Appeal from University Appeals Board	0	0	0	0							

CHART 4											
	CLASSIFICATION										
KRS 164.372 Report Items: 1A	TOTAL	ORG	F	SO	JR	SR	GRAD/PRO	Unknown			
1A1 Dismissal of Complaint Prior to Hearing	949	<10	715	138	42	26	<10	22			
1A2 Informal Resolution	1483	15	1113	222	69	50	<10	<10			
1A3 Formal Hearing	21	<10	14	<10	<10	<10	0	<10			
1A4 Dismissal of Complaint After Hearing	<10	0	<10	0	0	0	0	0			
1A5 Suspension	<10	<10	<10	<10	0	0	0	<10			
1A6 Expulsion	<10	<10	<10	<10	<10	<10	<10	<10			
<b>1A7</b> Termination of Housing	16	0	13	<10	<10	0	0	<10			
1A8 Appeal to University Appeals Board	<10	<10	<10	<10	<10	<10	<10	<10			
1A9 Appeal from University Appeals Board	0	0	0	0	0	0	0	0			



**Chart #5-6:** This chart outlines the specific violations of the Code of Student Conduct (#6)/University Housing Community Standards (#5). It's important to note that many cases have multiple violations. For example, termination of housing contact was the outcome of 16 conduct cases, but those 16 cases had a total of 49 violations.

CHART 5 - U	Iniversity	Housing a	nd Com	munity St	andards				
CHARGE	<b>1A1-</b> Dismissal of Complaint Prior to Hearing	<b>1A2 -</b> Informal Resolution	<b>1A3 -</b> Formal Hearing	<b>1A4 -</b> Dismissal of Complaint After Hearing	<b>1A5 -</b> Suspension	<b>1A6 -</b> Expulsion	<b>1A7 -</b> Termination of Housing	<b>1A8 -</b> Appeal to University Appeals Board	<b>1A9 -</b> Appeal from University Appeals Board
TOTAL	910	2701	63	<10	17	8	49	11	0
1. Use/Possession Drug	<10	109	0	0	0	0	<10	0	0
2. Public Intox Drug	0	<10	0	0	0	0	0	0	0
3. Paraphernalia Drugs	0	32	0	0	0	0	0	0	0
4. Use/Possession Alcohol	27	820	<10	0	0	0	<10	0	0
5. Public Intox Alcohol	0	82	0	0	0	0	<10	0	0
6. Drinking Games	0	27	0	0	0	0	0	0	0
7. Paraphernalia Alcohol	0	97	0	0	0	0	0	0	0
8. Tobacco	0	20	0	0	0	0	0	0	0
9. Physical Abuse/Threats	0	<10	<10	0	0	0	<10	0	0
10. Retaliation	0	0	0	0	0	0	0	0	0
11. Damaging Property	<10	90	<10	<10	0	0	<10	0	0
12. Failure to Comply	22	68	0	0	0	0	0	0	0
13. Sexual Misconduct	0	0	0	0	0	0	0	0	0
14. Public Exposure	0	<10	0	0	0	0	0	0	0
15. Stalking	0	0	0	0	0	0	0	0	0
16. Hazing	0	0	0	0	0	0	0	0	0
17. Unapproved Possession	<10	29	<10	0	0	0	<10	0	0
18. Weapons/Explosives	0	0	0	0	0	0	0	0	0
19A. Acts of Dishonesty- Furnishing False Information	0	14	0	0	0	0	0	0	0
19B. Acts of Dishonesty- Falsifying Financial Means	0	0	0	0	0	0	0	0	0
19C. Acts of Dishonesty- Bribing	0	<10	0	0	0	0	0	0	0
19D. Acts of Dishonesty- Impersonating University Official	0	0	0	0	0	0	0	0	0
19E. Acts of Dishonesty- Forgery University Document	0	<10	0	0	0	0	0	0	0
19F. Acts of Dishonesty- Falsely Accusing Others	0	<10	0	0	0	0	0	0	0
19G. Acts of Dishonesty- Assisting with a Violation	<10	<10	0	0	0	0	0	0	0
20. Abuse of the Student Conduct System	0	<10	<10	0	0	0	<10	0	0

CONTINUED ON NEXT PAGE

CHARGE	<b>1A1-</b> Dismissal of Complaint Prior to Hearing	<b>1A2 -</b> Informal Resolution	<b>1A3 -</b> Formal Hearing	<b>1A4 -</b> Dismissal of Complaint After Hearing	<b>1A5 -</b> Suspension	<b>1A6 -</b> Expulsion	<b>1A7 -</b> Termination of Housing	<b>1A8 -</b> Appeal to University Appeals Board	<b>1A9 -</b> Appeal from Universit Appeals Board
TOTAL	910	2701	63	<10	17	8	49	11	0
21A. Visitation/ Guest- Hosting a Guest who Violates Policy	<10	83	<10	0	0	0	<10	0	0
21B. Visitation/ Guest- Failure to Comply	112	252	0	0	0	0	0	0	0
21C. Visitation/ Guest- Minor Visitation	<10	<10	0	0	0	0	0	0	0
21D. Visitation/ Guest- Cohabitation	0	0	0	0	0	0	0	0	0
22. Community Restrooms	0	0	0	0	0	0	0	0	0
23. Throwing Objects	0	<10	0	0	0	0	0	0	0
24. Misuse/ Removal of University Property	34	33	0	0	0	0	0	0	0
25. Unauthorized Possession or Duplication of Keys	<10	28	<10	0	0	0	<10	0	0
26. Lock Out	23	0	0	0	0	0	0	0	0
27. Noncompliance with Administrative Procedures	31	18	0	0	0	0	0	0	0
28. Unauthorized Access	<10	27	0	0	0	0	<10	0	0
29. Excessive Alteration	11	<10	0	0	0	0	0	0	0
30. Room Cleanliness	42	10	<10	0	0	0	<10	0	0
31A. Fire/Safety- Damaging/Misuse Fire Equipment	<10	45	<10	0	0	0	<10	0	0
31B. Fire/Safety-Prohibited Cooking Appliances	46	<10	0	0	0	0	0	0	0
31C. Fire/Safety- Refrigerator or Microwave	22	<10	0	0	0	0	0	0	0
31D. Fire/Safety - Bed Lofts	0	0	0	0	0	0	0	0	0
31E. Fire/Safety- Explosive Materials	<10	0	0	0	0	0	0	0	0
31F. Fire/Safety- Damaging/Climbing Through Windows	0	0	0	0	0	0	0	0	0
31G. Fire/Safety- Decorations	245	19	0	0	0	0	0	0	0
31H. Fire/Safety- Blocking Fire Exits	0	<10	0	0	0	0	0	0	0
31I. Fire/Safety- Motorized Equipment and Bicycles	18	12	0	0	0	0	0	0	0
31J. Fire/ Safety- Misusing Emergency Exits	<10	10	<10	0	0	0	<10	0	0
31K. Fire/ Safety- Blocking Hallways	16	<10	0	0	0	0	0	0	0
31L. Fire/Safety- Failure to Evacuate	<10	<10	0	0	0	0	0	0	0
31M. Fire/Safety- Other Fire/Life Safety	0	0	0	0	0	0	0	0	0
32. Unauthorized Solicitation	0	0	0	0	0	0	0	0	0
33. Commercial Sales Activities	<10	<10	0	0	0	0	0	0	0
34. Hanging Posters without Permission	<10	0	0	0	0	0	0	0	0
35. Community Disturbance	20	123	<10	0	0	0	0	0	0
36. Quiet hours	172	293	0	0	0	0	0	0	0
37. Pet/ Animal Policy	<10	15	<10	0	0	0	<10	0	0
38. Staying Past Finals	0	0	0	0	0	0	0	0	0
39. Mail Policy	0	0	0	0	0	0	0	0	0
40. Other UK Policy or Law	<10	<10	<10	0	0	0	<10	0	0



Chart 6 – Code of Student Conduct										
CHARGE	1A1	1A2	1A3	1A4	1A5	1A6	1A7	1A8	1A9	
A.1. Abuse of the Process	0	<10	0	0	0	0	0	0	0	
<b>AA.</b> Violations of Law or other UK Policies	0	61	<10	0	<10	<10	<10	<10	0	
B. Bullying and Cyberbullying	0	<10	0	0	0	0	<10	0	0	
<b>BB.</b> Failure to Follow UK Covid-19 Health and Safety Guidelines	0	<10	0	0	0	0	0	0	0	
C. Collusion	<10	<10	<10	0	0	0	<10	0	0	
D. Damage and Destruction	0	27	<10	0	<10	0	<10	0	0	
E. Discrimination	0	<10	<10	0	0	0	<10	0	0	
F. Harassment	0	<10	<10	0	0	<10	<10	0	0	
G. Disruptive Behavior	0	<10	<10	0	0	<10	0	<10	0	
H. Failure to Comply	<10	<10	<10	0	<10	<10	<10	<10	0	
I. Falsification	0	23	<10	0	0	0	<10	0	0	
J. Financial Irresponsibility	0	0	0	0	0	0	0	0	0	
K.1 Violation of UK Fire Safety Policies	0	0	0	0	0	0	0	0	0	
K.2 Violation of UK Fire Safety Policies	0	0	0	0	0	0	0	0	0	
K.3 Violation of UK Fire Safety Policies	0	<10	<10	0	0	0	<10	0	0	
K.4 Violation of UK Fire Safety Policies	0	<10	0	0	0	0	0	0	0	
K.5 Violation of UK Fire Safety Policies	0	0	0	0	0	0	0	0	0	
L. Harm and Threat of Harm	<10	11	<10	0	<10	<10	<10	<10	0	
M. Hazing	<10	<10	<10	0	<10	0	0	<10	0	
N. Misuse of Intellectual Property	0	0	0	0	0	0	0	0	0	
O. Invasion of Privacy	0	0	0	0	0	0	0	0	0	
P. Misuse of Alcohol	<10	66	<10	0	<10	0	<10	<10	0	
Q. Misuse of Drugs	<10	<10	<10	0	<10	0	<10	<10	0	
R. Misuse of UK IT Resources	0	<10	0	0	0	0	0	0	0	
S. Misuse of Tobacco	0	<10	0	0	0	0	0	0	0	
T. Public Exposure	0	<10	0	0	0	0	0	0	0	
U. Public Intoxication	0	38	<10	0	<10	<10	<10	0	0	
V. Sexual Misconduct	0	0	0	0	0	0	0	0	0	
W. Unapproved Access	0	<10	<10	0	<10	0	<10	0	0	
X. Unapproved Fundraising, Sales, or Soliciting	0	0	0	0	0	0	0	0	0	
Y. Unapproved Possession of Deadly Weapons	0	0	0	0	<10	0	0	0	0	
Z. Unapproved Possession of Property	<10	14	<10	0	<10	0	0	0	0	

**Chart #7:** This chart provides an overview of referral information for each category outlined in section (1)(a) of KRS 164.372.

CHART 7														
						REFE	RRAL	SOURC	E*					
KRS 164.372 Report Items: 1A	TOTAL	Anonymous	Parent	Student	Faculty	Staff	FSL	IEEO	ORL	OSC	SOA	Lex PD	UK PD	Other
<b>1A1</b> Dismissal of Complaint Prior to Hearing	949	<10	<10	11	<10	<10	<10	<10	910	<10	0	0	<10	<10
<b>1A2</b> Informal Resolution	1483	<10	<10	<10	13	15	26	<10	1320	38	<10	<10	45	<10
<b>1A3</b> Formal Hearing	21	0	0	0	0	0	<10	<10	13	<10	0	0	<10	<10
<b>1A4</b> Dismissal of Complaint After Hearing	<10	0	0	0	0	0	<10	0	0	0	0	0	0	0
1A5 Suspension	<10	0	0	0	0	0	<10	0	<10	<10	0	0	<10	0
1A6 Expulsion	<10	0	0	0	0	0	0	<10	0	0	0	0	0	<10
<b>1A7</b> Termination of Housing	16	0	0	0	0	0	0	<10	12	0	0	0	<10	0
<b>1A8</b> Appeal to University Appeals Board	<10	0	0	0	0	0	<10	0	<10	0	0	0	0	0
<b>1A9</b> Appeal from University Appeals Board	0	0	0	0	0	0	0	0	0	0	0	0	0	0

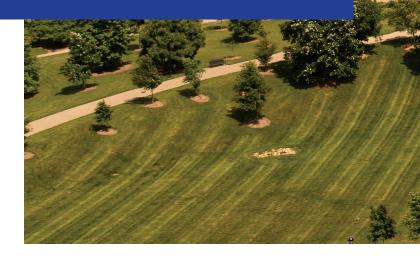
\*FSL: Office of Fratemity and Sorority Life; IEEO: Office of Institutional Equity and Equal Opportunity; ORL: Office of Residence Life; OSC: Office of Student Conduct; SOA: Student Organizations and Activities; Lex PD: Lexington Police Department; UK PD: University of Kentucky Police Department



**Chart #8:** This chart provides information on representation by an attorney. Attorneys can represent a respondent in formal hearings with the UK Community Hearing Board or in a hearing held by the University Appeals Board. Outside of formal hearings with the UK Community Hearing Board or hearings held by the University Appeals Board, attorneys can act as support people for respondents, providing advice and counsel to their client.

KRS 164.372 Report Items: 1A	TOTAL	Attorney Representation	Percentage							
1A1 Dismissal of Complaint Prior to Hearing	949	0	0							
1A2 Informal Resolution	1483	0	0							
1A3 Formal Hearing	21	<10	19%							
1A4 Dismissal of Complaint After Hearing	<10	0	0							
1A5 Suspension	<10	0	0							
1A6 Expulsion	<10	0	0							
1A7 Termination of Housing	16	0	0							
1A8 Appeal to University Appeals Board	<10	<10	67%							
1A9 Appeal from University Appeals Board	0	0	0							

#### CHART 8





UNIVERSITY OF KENTUCKY

### CONTACT US





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AN EQUAL OPPORTUNITY UNIVERSITY